

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)						Atty. Docket No. 42133.9USPT		Serial No.	
						Applicant Lu, et al			
						Filing Date September 18, 1998		Group	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT No.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
<i>PR</i>	AA	5,132,355	7/1992	Nahlovsky	524	274	
<i>PR</i>	AB	5,879,694	3/1999	Morrison et al.	424	405	
<i>PR</i>	AC	3,819,342	6/1974	Gunderman et al.	44	7.5	
<i>PR</i>	AD	3,645,705	2/1972	Miller et al.	44	7.5	
<i>PR</i>	AE	5,578,089	11/1996	Elsamaly	44	275	
<i>PR</i>	AF	5,843,194	12/1998	Spaulding	44	075	
<i>PR</i>	AG	5,508,334	4/1996	Chen	524	474	
<i>PR</i>	AH	5,756,082	5/1998	Cashin et al.	424	78.3	
<i>PR</i>	AI	5,221,534	6/1993	DesLauriers et al.	424	78.03	
<i>PR</i>	AJ	5,558,872	9/1996	Jones et al.	424	78.03	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT No.	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
<i>PR</i>	BA	WO99/27042	6/1999	WIPO			X (abstract)	
<i>PR</i>	BB	WO98/17243	4/1998	WIPO				
<i>PR</i>	BC	0497144B1	1/1992	EPO				
<i>PR</i>	BD	WO98/42298	10/1998	WIPO				
<i>PR</i>	BE	EP0898960A1	8/1998	EPO				X
<i>PR</i>	BF	EP0898958A1	7/1998	EPO				X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)			

EXAMINER 	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

 jc584 U.S. PTO
09/419571




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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/419,571
		Filing Date	October 18, 1999
		First Named Inventor	Healy et al.
		Group Art Unit	1713
		Examiner Name	
Sheet 1 of 1	Atty. Docket Number	42133.9USPT	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
<i>AA</i>	AA	5,412,022		Andres et al.	05/02/1995	524/535
	AB					
	AC					
	AD					
	AE					

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
<i>BA</i>	BA	WO	91/05014		Raychem Limited	04/18/1991	
<i>BB</i>	BB	EP	0147146		Amer. Home Products Corporation	07/03/1985	
<i>BC</i>	BC	EP	0210655		Nippon Steel Chemical Co. Ltd.	02/04/1987	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) Date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
<i>CA</i>	CA	PCT Search Report, 2/24/00.	
	CB		
	CC		
	CD		

Examiner Signature	<i>AD Miller</i>	Date Considered	9/21/01
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO) Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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		Number	Kind Code ² (if known)					
	AA	09/007,878		Morrison et al.	01/15/98			
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		Office ³	Number ⁴					
Ph	BE		EP0898960A1	EP	03/03/99	Abstract		X
Ph	BF		EP0898958A1	EP	07/01/98	Abstract		X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
Examiner Signature					Date Considered	9/27/01		

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**INFORMATION DISCLOSURE
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Sheet 1 of 1

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		Number	Kind Code ² (if known)			
<i>ML</i>	AA	4,540,510		Karl	09/10/1985	752/315.3
	AB					
	AC					
	AD					
	AE					

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	BB							
	BC							

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<i>ML</i>	CA	Tashenbuch der Kunststoff-Additive, R. Gachter et al., Haner Verlag, Munchen, pp. 427-428, 1989.	x
<i>ML</i>	CB	PCT Written Opinion, 8/25/2000.	
	CC		
	CD		

Examiner Signature	<i>[Signature]</i>	Date Considered	9/01
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